

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

(Please indicate) State Agency: AR for FY 2015

The review of certification, eligibility and coordination of services involves the process of determining and documenting participant eligibility (income eligibility as well as nutritional risk determination, standards and criteria), and the coordination of certification activities with other health services.

A. *Eligibility Determination and Documentation - 246.4(a)(6); (10); (11)(i) and (19):* describe the policies and procedures for determining and documenting eligibility including the application process, residency requirements, identity requirements, documented physical presence or valid exception; proof of categorical eligibility, income limits, income eligibility documentation, determination of special populations and a definition of and policy toward the economic unit.

B. *Nutrition Risk Determination, Documentation, and Priority Assignment - 246.4(a)(11)(i):* describe the policies and procedures for determining and documenting nutritional risk and priority assignments. Include a copy of the nutritional risk criteria the State agency plans to use with the appropriate documentation.

C. *Health Care Agreements, Referrals, and Coordination - 246.4(a)(6); (7); (8) and (19):* describe the procedures for coordinating agreements and services with other health care providers at the State and local agency level including procedures to ensure that benefits are provided to persons with special needs.

D. *Processing Standards - 246.4(a)(11)(i):* describe the State agency's processing procedures to ensure that the required standards and timelines are met.

E. *Certification Periods - 246.4(a)(11)(i):* describe the policies and procedures used to establish certification periods for participants and the autonomy (if applicable) granted to local agencies in determining eligibility time periods.

F. *Transfer of Certification - 246.4(a)(6) and (11)(i):* describe the State agency's procedures for the transfer of certification and VOC cards ensuring that vital participant and program information is included.

G. *Dual Participation, Participant Rights and Responsibilities, Fair Hearing Procedures, and Sanction System - 246.4(a)(11)(i); (15); (16) and (17):* describe the procedures used to detect and prevent dual participation at the State and local level, the procedures for ensuring participants are notified of their rights and responsibilities, and the procedures regarding participant fair hearings and sanction system.

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A. Eligibility Determination and Documentation

1. Application Process

- a. The State agency requires all local agencies to use a standardized application process for all persons applying for the WIC Program

☒ Yes ☐ No

- b. The State agency shares ☐ State wide or ☐ at local agency (check one), a common income application or certification form with (check all that apply):

<input checked="" type="checkbox"/> no other benefit programs	<input type="checkbox"/> Medicaid
<input type="checkbox"/> TANF	<input type="checkbox"/> SNAP
<input type="checkbox"/> MCH	<input type="checkbox"/> other reduced price health care program(s)
<input type="checkbox"/> other (specify): _____	

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC- 12-WIC-17**

2. Residency, Identity and Physical Presence Requirements

- a. The State agency requires documentation of residency

☒ Yes
☐ Signed statement that documentation of residency information is not available and why (e.g. homeless, theft, fire)
☐ No (Specify why, e.g., ITOs and Alaska natives who are exempt from this requirement): _____

- b. The State agency has special residency policies and procedures for how the following special categories should be treated (check all that apply):

<input checked="" type="checkbox"/> homeless applicants	<input checked="" type="checkbox"/> institutionalized applicants
<input checked="" type="checkbox"/> migrants	<input type="checkbox"/> Indian Tribal Organizations
<input type="checkbox"/> none	<input checked="" type="checkbox"/> other (specify): <u>Victims of Disaster</u>

- c. The State agency has reciprocal agreements concerning residency with other States

☐ Yes (specify States): _____
☒ No

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A. Eligibility Determination and Documentation

d. The State agency requires proof of identity from each applicant at certification

- ☒ Yes
☐ No (If no, why not?): _____

e. The State agency requires physical presence of the applicant or a valid exception to be documented:

- ☒ Yes except for the following condition(s):
- ☒ applicant or parent/caretaker is an individual with disabilities which prevent him/her from being physically present at the WIC clinic (e.g., medical equipment, bed-rest, or serious illness exacerbated by coming in to clinic).
 - ☒ applicant is an infant or child receiving documented ongoing health care from any health care provider, including the local agency; being physically present would pose an unreasonable barrier; and the infant or child was present at his/her initial WIC certification.
 - ☒ applicant is an infant under 8 weeks of age who cannot be present at the time of certification (for a reason determined appropriate by the local agency) and for whom all necessary certification information is provided.
 - ☐ applicant is an infant or child who was present at his/her initial certification; was present at certification within the one-year period of the most recent determination; and is under the care of one parent and that parent works, or is under the care of two parents and both work; and that working status presents a barrier to bringing the infant or child in to the WIC clinic.

f. The State agency uses temporary (up to 30 days) certifications for individuals who do not present necessary proof of income, residency and/or identity at the time of application.

- ☐ Yes ☒ No

3. The State agency requires applicants to submit proof of categorical eligibility for (check all that apply):

- | | |
|---|--|
| <input type="checkbox"/> all pregnant women | <input type="checkbox"/> pregnant women not visibly pregnant |
| <input type="checkbox"/> postpartum women | <input type="checkbox"/> children |
| <input type="checkbox"/> infants | <input checked="" type="checkbox"/> other (specify): <u>pregnant status questionable</u> |

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-13, WIC-15, WIC-26-WIC-28**

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A. Eligibility Determination and Documentation

4. Income Limits for Eligibility

a. **The State agency gross income limit for income eligibility is 185% of the federal income guidelines**

- ☒ Yes, with no local agency exceptions
☐ Yes, with local agency variation
☐ No, with no local agency exceptions
(specify State maximum percent of poverty: _____%)
☐ No, with local agency variation
(specify State maximum percent of poverty: _____%)
☐ The State agency implements income eligibility guidelines concurrently with Medicaid

Please attach a copy of the income guidelines in the Appendix or the appropriate citation in the Procedure Manual. (Appendix I –APP-6)

b. **The State agency requires documentation of an applicant's, or certain family members' eligibility to receive benefits in the following means-tested programs that confer adjunctive income eligibility for WIC, as set forth in 246.7(d)(2)(vi):**

	<u>Poverty Level</u>
<input checked="" type="checkbox"/> TANF (specify State "percent of poverty")	<u>200%</u>
<input checked="" type="checkbox"/> SNAP	
<input checked="" type="checkbox"/> Medicaid (specify State "percent of poverty" for each)	
Pregnant women and infants	<u>200%</u>
Children	<u>200%</u>
Other categorically eligible women	<u>200%</u>

c. **The State agency uses documented eligibility for/participation in other means-tested programs to establish automatic WIC income eligibility (check all that apply and the poverty levels used for each):**

	<u>Poverty Level</u>
<input type="checkbox"/> Free or Reduced-Price School Lunch	_____
<input type="checkbox"/> SSI	_____
<input type="checkbox"/> other State-provided health insurance (specify State "percent of poverty" maximum _____%)	_____
<input type="checkbox"/> FDPIR	_____
<input checked="" type="checkbox"/> other (specify): <u>N/A</u>	

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A. Eligibility Determination and Documentation

- d. Individuals are required to document that they or a family member are certified as eligible to receive TANF, Medicaid, or SNAP benefits or, under the State option, certified as eligible to receive benefits in State-administered programs by providing:**

- ☒ program ID card (only if it includes dates of eligibility) or notice of current eligibility
☐ documentation of participation in State-administered programs (and such programs require documentation of income and have income guidelines at or below WIC's income guideline of 185% of poverty). (Program[s]: _____)

ADDITIONAL DETAIL: Certification and Eligibility Appendix I and/or Procedure Manual (citation): WIC-23

5. Income Eligibility Documentation

- a. For WIC applicants whose income eligibility is not based on adjunctive or automatic income eligibility in another means-tested program, the State requires (check all that apply):**

- ☒ Documentation of income information
☐ Signed statement that documentation of income information is not available and why
☒ Notation in the participant record if the applicant declares no income and why
☐ other (specify): _____

- b. Exceptions to income documentation are made for the following:**

- ☐ The necessary information is not available
☐ The income documentation presents an unreasonable barrier to participation as determined by the State agency
☐ Those applicants with no income
☐ Those applicants who work for cash
☒ other (specify): Certification is completed when participant returns with income documentation.

- c. If the applicant does not supply income documentation at the certification appointment, and has at least one qualifying nutrition risk, local agencies are generally instructed to do the following:**

- ☒ Certification process is terminated and no food instruments/cash-value vouchers are provided; appointment rescheduled
☐ Temporary certification (not to exceed 30 days) is completed and food instruments/cash-value vouchers are provided. However, if applicant does not provide documentation within 30 days, applicant is determined ineligible.
☐ Other (specify): _____

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A. Eligibility Determination and Documentation

- d. The State agency requires ☐ State-wide, or at ☐ local agency (check one), the verification of applicant income information

- ☒ No
☐ Yes (check all sources required, as appropriate):
☐ employer
☐ public assistance offices
☐ State employment offices (wage match, unemployment)
☐ Social Security Administration
☐ school districts/offices
☐ collateral contacts
☐ other (specify): _____

- e. The State agency has specific policies that define actions to be taken for mid-certification changes in participant income circumstances.

☒ Yes; Please specify WIC-25 ☐ No

- f. The State agency allows documentation of alternate income procedures for Indian or Indian Health Service (IHS) operated local agencies.

☐ Yes ☐ No ☒ Not Applicable

- g. The State agency has specific policy that addresses income from benefits provided under certain regulatory Federal programs.

☒ Yes ☐ No

- h. The State agency has specific policy to ensure that certain types of income, such as combat pay or FSSA payments for households that include service members, are excluded from consideration in the WIC income eligibility determination, as provided by law and regulation.

☒ Yes ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-19-WIC-25**

6. In determining an applicant's income eligibility for WIC, the State agency excludes basic allowance for housing received by military services personnel residing off military installations and in privatized housing, whether on- or off-base.

☒ Yes, State-wide ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-19-WIC-25**

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7. The State agency excludes cost-of-living allowances for military personnel on duty outside of the contiguous 48 States (OCONUS COLA) from applicant income for purposes of WIC income determination

☒ Yes, State-wide ☐ No

8. In determining an applicant's income eligibility for WIC, the State agency excludes payments given to deployed military service members. These payments are in accordance with Chapter 5 of Title 37 of the U.S.C.

☒ Yes, State-wide ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-19-WIC-25**

9. In determining an applicant's income eligibility for WIC, the State agency calculates multiple income sources received by an applicant's household at different frequencies in accordance with WIC Policy Memo 2011-7, and compares the sum to the established WIC IEGs.

☒ Yes, State-wide ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): APP-6**

10. The State agency defines the economic unit in accordance with WIC Policy Memo 2013-3.

☒ Yes ☐ No (if no, why not): _____

Provide the definition of an economic unit used by the State agency in the Appendix or the appropriate citation in the Procedure Manual.

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-24**

11. The State agency has specific policies or lists examples concerning the determination of the economic unit for (check all that apply):

☒ foster children
☐ divorced/legally separated parents; step parents
☐ absentee spouse (military hardship tours, etc.)

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- ☐ cohabitation
- ☐ institutionalized applicants (including incarcerated applicants)
- ☒ homeless applicants
- ☐ minors ("emancipated" minors)
- ☒ separate economic units under the same roof
- ☒ striker/unemployed
- ☐ students away at school
- ☒ self-employed applicants
- ☐ other (specify): _____

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-19-WIC-25**

12. Mid-Certification Disqualification

- a. **The State agency ensures that local agencies are required to stipulate that an individual is not automatically disqualified mid-certification due to the fact that she/he no longer participates in one or more of the Programs for which they were originally determined adjunctively/automatically income eligible.**

☒ Yes ☐ No

- b. **WIC regulations specify that when income eligibility is reassessed mid-certification, State/local agencies are required to reevaluate the programs for which the individual could be determined adjunctively/automatically income eligible. If the individual cannot qualify based on eligibility for one of these programs, eligibility must be determined based on WIC income guidelines and disqualification made only after all of these options are exhausted. The State ensures its policy and procedures comply with this requirement:**

☒ Yes ☐ No

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B. Nutrition Risk Determination, Documentation and Priority Assignment

1. Nutrition Risk Determination and Documentation

- a. Professionals authorized by the State agency as Competent Professional Authorities (CPAs) to determine nutritional risk include (check all that apply):

<u>Qualification</u>	<u>Can certify for:</u>	
	<u>Priorities I-III</u>	<u>All Priorities</u>
RD or Master's Level Nutritionist	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Bachelor's Level Nutritionist	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Physician	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Physician Assistant	<input type="checkbox"/>	<input type="checkbox"/>
Registered Nurse	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Licensed Practical Nurse	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Home Economist	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Paraprofessional	<input type="checkbox"/>	<input type="checkbox"/>
Other (Specify): _____	<input type="checkbox"/>	<input type="checkbox"/>
Other (Specify): _____	<input type="checkbox"/>	<input type="checkbox"/>

- b. The State agency authorizes local agencies to (check all that apply):

☒ conduct ☒ anthropometric and ☒ hematological measurements
☒ use medical referral data for ☒ anthropometric and ☒ hematological measurements
☐ conduct measurements only when medical referral data are unavailable

- c. The State agency uses only FNS-approved nutrition risk criteria, as referenced in Policy Memorandum #2011-5, WIC Nutrition Risk Criteria, and transmittal memorandum (dated June 25, 2012) that list the revised risk criteria requiring implementation by 10/1/2013, published on the FNS PartnerWeb, to document nutrition risk.

☒ Yes ☐ No

Please append a copy of the revised nutrition risk criteria in its entirety to this State Plan. CE AR Risk Criteria Appendix II

- d. The State agency modifies nutrition risk criteria such that criteria definitions are more restrictive than nationally established definitions.

☐ Yes (list criteria): _____
☒ No

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B. Nutrition Risk Determination, Documentation and Priority Assignment

e. Hematological risk determination:

The State agency requires (check one of the following):

- ☒ Bloodwork data to be collected at the time of certification (Statewide).
☐ Bloodwork data to be collected within 90 days of certification, so long as the participant is determined to have at least one qualifying nutritional risk at the time of certification (Statewide), and the State has implemented procedures to ensure receipt of data.

The State agency ensures that hematological assessment data are current and reflective of participant status, to include a bloodwork periodicity schedule that conforms to the requirements as described in 246.7(e)(1)(ii)(B).

☒ Yes ☐ No

The State agency allows local agencies the option of obtaining bloodwork on children ages 2-5 annually if prior certification results were normal.

☒ Yes ☐ No

f. Anthropometric risk determination:

The State agency allows (check one):

- ☒ anthropometric data for certification to be no older than 60 days (Statewide)
☐ a shorter (less than 60 days) limit on age of anthropometric data for certification

g. Nutrition assessment:

- (i) Local agencies are required to perform a complete nutrition assessment (as described in the *Value Enhanced Nutrition Assessment [VENA] Guidance*) for all participants.**

☒ Yes ☐ No (explain): _____

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B. Nutrition Risk Determination, Documentation and Priority Assignment

- (ii) Local agencies are required to perform a mid-certification nutrition assessment (as described in the *Guidance for Providing Quality Nutrition Services during Extended Certification Periods*) for all participants with an extended certification period.

☐ Yes ☒ No (explain): AR hasnot opted to extend certification periods longer than 6 months for children

- (iii) The State agency policy requires that nutrition assessment intake information be collected on a State agency mandated form or Management Information System (MIS).

☒ Yes ☐ No

If yes, attach mandated forms (or MIS screen shots) or specify location in the procedure manual and reference below. WIC-30-WIC-37

If no, the State agency assures quality of nutrition assessment by:

- ☐ requiring local agencies to submit forms for approval
☐ annually monitoring the locally developed forms during local agency reviews
☐ other (specify): _____

- (iv) Dietary assessment is based on professionally recognized guidelines (e.g., Dietary Guidelines for Americans, My Plate Food Guide, American Academy of Pediatrics)

☒ Yes (specify): RDI's, AAP, US Guidelines, My Plate
☐ No (explain): _____

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (cite): WIC-30-WIC-37**

2. Documentation

- a. The State agency requires documentation in the applicant's case file for all nutrition risk criteria used to establish WIC eligibility (check one) (as described in FNS Policy Memorandum #2008-4, WIC Nutrition Services Documentation):

- ☐ Yes, supported by a written "exceptions" policy (e.g., policies to direct clinic staff in situations in which documentation is unavailable)
☒ Yes, with CPA discretion when to waive documentation requirement (no written policy)

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B. Nutrition Risk Determination, Documentation and Priority Assignment

☐ No (explain): _____

b. As a matter of policy, the State agency requires the documentation of nutritional risk criteria on a participant's certification form in the following manner:

- ☒ all identified risk criteria are recorded
☐ a set number of criteria _____ is recorded (maximum number is 10 criteria)
☐ local agency personnel decide how many and which criteria are recorded
☐ other (specify): _____

c. The State agency requires verification for all nutrition risk criteria that require a physician's diagnosis.

☐ Yes ☒ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (cite): WIC-30-WIC-37**

3. Priority Assignments

a. Participants certified for regression

- ☐ remain in the same priority in which they were previously assigned
☐ are assigned to Priority VII, regardless of their initial priority at first certification
☒ other (specify): Assigned to Priority V

b. Participants may be certified for regression (check all that apply):

- ☐ a single six-month period
☐ one time following a certification period
☐ no policy, local agency discretion
X Other: Multiple, non-consecutive certification

c. High risk postpartum women are assigned to the following priority:

- ☒ Priority III
☐ Priority IV
☐ Priority V
☐ Priority VI

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B. Nutrition Risk Determination, Documentation and Priority Assignment

- d. Participants certified solely due to homelessness/migrancy are assigned to the following priority:

	IV	V	VI	VII
Pregnant Women	<input checked="" type="checkbox"/>			<input type="checkbox"/>
Breastfeeding Women	<input checked="" type="checkbox"/>			<input type="checkbox"/>
Postpartum Women			<input checked="" type="checkbox"/>	<input type="checkbox"/>
Infants	<input checked="" type="checkbox"/>			<input type="checkbox"/>
Children		<input checked="" type="checkbox"/>		<input type="checkbox"/>

- e. Attach a copy of any nutrition risk criteria that will be added, modified or deleted during the coming fiscal year. For each criterion, indicate:

- applicable participant category
- applicable priority level(s)
- whether a physician's diagnosis is required
- SA code number which conforms to list of codes provided by USDA for Participant Characteristics data collection

ADDITIONAL DETAIL: Certification and Eligibility Appendix I and/or Procedure Manual (citation): See Policy Manual App-33-APP-107; Mandated revisions implemented June 1, 2014; risk factors 114 and 338 divided into 114 and 114a and 338 and 338a effective August 1, 2014 to aid in the collection of Arkansas WIC obesity rates in SPIRIT

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C. Health Care Agreements, Referrals, and Coordination

1. State Agency Referral Agreements and Coordination of Services

- a. The State agency has written formal agreements that permit the sharing of participant information with the following programs/providers (indicate whether information is shared manually (M) or through ADP (A) by placing either an M or A in front of the appropriate service):

<u>M</u>	SNAP	_____	IHS facilities
<u>M</u>	TANF	_____	Rural/migrant health centers
<u>M</u>	Medicaid	_____	Hospitals
_____	SSI	_____	Childhood immunization
_____	EPSDT	_____	Immunization registries
<u>M</u>	MCH programs	_____	Well-child programs
_____	Children with special health care needs program(s)	_____	Child protective services
_____	Family planning	_____	Children's health insurance
<u>M</u>	other (specify): <u>All adh Programs, AR Head Start, AR Cooperative Extension</u>	_____	Private physicians

- b. Formal agreements for coordination of services include:

☒ Responsibilities of each party
☒ Assurance that information is used for eligibility and/or outreach
☒ Assurance that information will not be shared with a third party

- c. The State agency requires local agencies to coordinate services with, and/or develop referral systems for, the following (check all that apply):

<input checked="" type="checkbox"/> SNAP	<input type="checkbox"/> children with special health care needs
<input checked="" type="checkbox"/> TANF	<input checked="" type="checkbox"/> schools
<input type="checkbox"/> SSI	<input checked="" type="checkbox"/> EFNEP
<input checked="" type="checkbox"/> Medicaid	<input checked="" type="checkbox"/> other food assistance program (TEFAP, FDPIR, CSFP, etc.)
<input type="checkbox"/> CHIP	<input checked="" type="checkbox"/> breastfeeding promotion
<input type="checkbox"/> IHS facilities	<input checked="" type="checkbox"/> child protective services
<input checked="" type="checkbox"/> MCH (clinics/facilities)	<input checked="" type="checkbox"/> Head Start
<input type="checkbox"/> EPSDT	<input checked="" type="checkbox"/> Early Head Start
<input checked="" type="checkbox"/> family planning	<input type="checkbox"/> Healthy Start
<input checked="" type="checkbox"/> prenatal care	<input checked="" type="checkbox"/> substance abuse programs
<input checked="" type="checkbox"/> postnatal care	<input type="checkbox"/> child abuse counseling
<input checked="" type="checkbox"/> immunization	<input checked="" type="checkbox"/> foster care agencies
<input checked="" type="checkbox"/> dental services	<input checked="" type="checkbox"/> homeless facilities
<input checked="" type="checkbox"/> private physicians	<input checked="" type="checkbox"/> mental health services
<input checked="" type="checkbox"/> hospitals	<input checked="" type="checkbox"/> other (specify): <u>Maternal Infant</u>
<input checked="" type="checkbox"/> well-child programs	
<input checked="" type="checkbox"/> rural/migrant health centers	

Program

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C. Health Care Agreements, Referrals, and Coordination

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-37-WIC-39**

2. Local Agency Referral Procedures

a. The State agency ensures that local agencies make available to all adults applying or re-applying for the WIC Program for themselves or on behalf of others the following types of information:

- ☒ State Medicaid Program, including presumptive eligibility determinations, where available
- ☒ child support services
- ☒ SNAP
- ☒ substance abuse counseling/treatment programs
- ☒ TANF, including presumptive eligibility determinations, where available
- ☐ other State-funded medical insurance programs (specify): _____
- ☐ other nutrition services (specify): _____
- ☒ EPSDT Program
- ☐ Children's Health Insurance program(s)
- ☐ Other (specify): _____

b. The referral methods used by local agencies to other health and social service programs include (check all that apply and indicate the primary method of referral with an *):

- ☐ State agency-developed referral forms
- ☐ local agency-developed referral form
- ☒ telephone call to referring agency
- ☒ verbal referral to participants
- ☐ automated client/participant information exchange
- ☒ written literature on referral programs
- ☐ follow-ups by staff to monitor
- ☒ maintain a list of local resources for drug and other harmful substance abuse counseling
- ☐ other (specify): _____

c. Methods used by other health and social service programs to refer clients to the WIC Program include (check all that apply and indicate the primary method of referral with *):

- ☐ WIC Program referral form
- ☐ health/social program referral form
- ☒ telephone call
- ☒ verbal referral
- ☐ automated client/participant information exchange

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C. Health Care Agreements, Referrals, and Coordination

- ☒ written literature on the WIC Program
☐ other (specify): _____

d. The State agency has a system in place to monitor the extent to which WIC participants are using other health or social services (check all that apply):

☐ Yes (check): ☐ Medicaid ☐ TANF ☐ MCH ☐ SNAP

☐ Yes, other (specify): _____

☒ No

e. The State agency requires local agencies to monitor referrals to determine the extent of health or social services utilization in addition to State monitoring systems.

☒ Yes ☐ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix
and/or Procedure Manual (citation):**

f. In order to facilitate referrals to the Medicaid Program, the State agency provides each local agency a chart showing the maximum income limits, according to family size, applicable to pregnant women, infants, and children up to age 5 under the Medicaid Program.

☐ Yes ☒ No

g. The State agency assures that each local agency operating the Program within a hospital, and/or that has a cooperative arrangement with a hospital, advises potentially eligible individuals that receive inpatient or outpatient prenatal, maternity, or postpartum services, or that accompany a child under the age of 5 who receives well-child services, of the availability of program services.

☐ Yes ☐ No ☐ N/A

h. The State agency ensures that, to the extent possible, local agencies provide an opportunity for individuals who may be eligible to be certified within the hospital for participation in WIC.

☐ Yes ☒ No

i. The State agency ensures that when WIC is at maximum caseload, local agencies make referrals to:

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- ☒ food banks
- ☒ food pantries
- ☒ soup kitchens or other emergency meal providers
- ☒ SNAP
- ☐ Commodity Supplemental Food Program
- ☐ The Emergency Food Assistance Program
- ☐ Food Distribution Program on Indian Reservations
- ☐ other (specify): _____

j. **The State agency ensures that when WIC is at maximum caseload, local agencies notify the State agency of any waiting lists established.**

- ☒ Yes ☐ No

k. **The State agency ensures that when WIC is at maximum caseload, the State agency notifies FNS of any waiting lists established.**

- ☒ Yes ☐ No

l. **The State agency ensures that when the WIC participant's family has immediate needs for food beyond what WIC might provide, local agencies make referrals to:**

- ☒ food banks
- ☒ food pantries
- ☒ soup kitchens
- ☒ SNAP
- ☐ The Emergency Food Assistance Program
- ☐ Food Distribution Program on Indian Reservations
- ☐ other (specify): _____

m. **Immunization Screening and Referral**

The State agency assures that each local agency is meeting the requirements of WIC Policy Memorandum #2001-7, August 30, 2001: Immunization Screening and Referral, as follows:

- ☒ Screening children under the age of two using a documented immunization history:
 - ☐ Using the minimum screening protocol; or
 - ☒ Using a more comprehensive means, (specify): ADH Electronic Immunization System (WEB IZ)
- ☐ Using another program or entity to screen and refer WIC children using a documented immunization history; (specify): _____ **or**
- ☐ Implementing the minimum screening protocol is unnecessary because immunization coverage rates of WIC children by 24 months are 90% or greater; **or**

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C. Health Care Agreements, Referrals, and Coordination

- ☐ The State agency has been unable to formalize a coordination agreement with the State Immunization Program. Provide explanation of extenuating circumstances:

The State agency's policy and procedure manual has been updated to include the above immunization screening and referral protocol.

- ☒ Yes ☐ No (explain): _____

Appendix I WIC -37-WIC-39

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

D. Processing Standards

1. Notification Standards

- a. The State agency defines special nutritional risk applicants who are to be notified of their eligibility within 10 days of the date of the first request (at the local agency) for program benefits as the following (check all that apply):

- | | | | |
|-------------------------------------|---|-------------------------------------|------------------------------|
| <input checked="" type="checkbox"/> | pregnant women eligible as Priority I | <input checked="" type="checkbox"/> | high-risk infants (optional) |
| <input checked="" type="checkbox"/> | migrant farmworkers/family members | <input type="checkbox"/> | homeless (optional) |
| <input checked="" type="checkbox"/> | optional; please specify: <u>Breastfeeding women and infants; infants under 6</u> | | |

months

- b. The State agency requires local agencies to follow special policies and procedures to ensure timely certification of:

- | | | | |
|-------------------------------------|--------------------------------|-------------------------------------|---------------------|
| <input checked="" type="checkbox"/> | rural applicants | <input checked="" type="checkbox"/> | employed applicants |
| <input type="checkbox"/> | no special policies/procedures | | |

- c. The State agency's policy allows it to authorize an extension of the notification period up to 15 days for special nutritional risk applicants when local agencies provide a written request with justification

- | | | | |
|--------------------------|-----|-------------------------------------|----|
| <input type="checkbox"/> | Yes | <input checked="" type="checkbox"/> | No |
|--------------------------|-----|-------------------------------------|----|

- d. Policies and procedures are in place to assure all other applicants are notified of eligibility within 20 days of first request (at the local agency) for program benefits.

- | | | | |
|-------------------------------------|-----|--------------------------|----|
| <input checked="" type="checkbox"/> | Yes | <input type="checkbox"/> | No |
|-------------------------------------|-----|--------------------------|----|

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-14**

2. Processing Standards

- a. Processing standards begin when the applicant (check all that apply):

- | | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | telephones the local agencies to request benefits |
| <input checked="" type="checkbox"/> | visits the local agency in person |
| <input checked="" type="checkbox"/> | makes a written request for benefits |

- b. The State agency requires the local agency to have a monitoring system in place to ensure processing standards are being met for all categories of applicants.

- | | | | |
|-------------------------------------|-----|--------------------------|----|
| <input checked="" type="checkbox"/> | Yes | <input type="checkbox"/> | No |
|-------------------------------------|-----|--------------------------|----|

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

D. Processing Standards

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-14**

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

E. Certification Periods

1. Certification Period Standards

- a. (i) The State agency authorizes local agencies to certify infants under six months of age for a period extending up to the first birthday provided the quality and accessibility of health care services are not diminished (known as “extended certification”):

- ☒ Yes, at all local agencies
☐ Yes, at selected local agencies
☐ No

- (ii) The State agency authorizes local agencies to certify children for a period of up to one year provided that participant children receive required health and nutrition services:

- ☐ Yes, at all local agencies
☐ Yes, at selected local agencies
☒ No

- (iii) The State agency authorizes local agencies to certify breastfeeding mothers for a period extending up to the infant's first birthday or until breastfeeding is discontinued(whichever comes first), provided that there will be no decrease in health and nutrition services that the participant would otherwise receive during a shorter certification period:

- ☒ Yes, at all local agencies
☐ Yes, at selected local agencies
☐ No

- (iv) The State agency ensures that health care and nutrition services are not diminished for participants certified for longer than six months:

☐ No ☒ Yes (describe) Nutrition Ed appt.9-12 month Hgb checks, referrals to other services, verification of BF status and special formula in SPIRIT at each visit

- b. Extended certification is an option for the following (check all that apply):

- ☒ Priority I infants ☒ Priority II infants ☒ Priority IV infants
☐ Priority III Children ☐ Priority V Children
☒ Priority I Breastfeeding Women ☒ Priority IV Breastfeeding Women

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

E. Certification Periods

- c. **The State agency authorizes local agencies to shorten or extend the certification period up to 30 days in certain circumstances**

☐ Yes (If yes, provide citation indicating circumstances): _____
☒ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-16**

2. **The State agency authorizes local agencies to disqualify an individual in the middle of a certification period for the following reasons (check all that apply):**

☒ participant volunteers the information that they are over income
☒ participant abuse
☒ family member found income ineligible at recertification
☒ failure to pick up food instruments/cash-value vouchers for 2 consecutive issuances
☐ other (specify): _____

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-25, WIC 109-WIC-120**

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

F. Transfer of Certification

1. Procedures for Transfer of Certification and Verification of Certification (VOC) Cards

- a. The State agency has procedures in place that are used by all local agencies for transfers of certification within the State agency (intra-State), between State agencies (inter-State), and to the WIC Overseas Program (WICO):

Intra-State

☒
☐

Inter-State

☒
☐

WIC Overseas

☒
☐

Yes
No

- b. A participant ID card/folder is provided which also serves as a VOC card:

☐

Yes

☒

No SPIRIT prints VOC document that is provided to participant

- c. The State agency requires all local agencies to use a standardized Verification of Certification card:

☐

Yes

☐

No See b. above

- d. Verification of Certification Cards are issued to the following (check all that apply):

☐

all participants

☐

migrants

☐

homeless

☐

participants relocating during certification period

☐

persons affiliated with the military who are transferred overseas

☒

other (specify): See 1.b.: VOC provided for any participant requesting a transfer

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-102-WIC-103**

2. The State agency requires all local agencies to include the following information on the Verification of Certification card (check all that apply):

☒

name of participant

☒

date certification performed

☒

date income eligibility last determined

☒

nutritional risk condition of the participant

☒

date certification period expires

☒

signature/printed or typed name of certifying local agency official

☒

name/address of certifying local agency

☒

identification number or some other means of accountability

☐

migrant status (non-resident)

☒

other (specify): Participant type, Birthdate, EDC

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

F. Transfer of Certification

- 3. The State agency requires all local agencies to accept as valid all VOC cards from both the domestic WIC Program and the WIC Overseas Program that contain the following essential elements:**

- ☒ participant name
- ☒ name and address of the certifying agency
- ☒ date the current certification period expires

☐ Yes ☐ No

- 4. The State agency honors the one year certification period for transferring participants (infants, children, and breastfeeding women) even if it certifies participants every six months.**

☐ Yes ☒ No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-102-WIC-103**

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

G. Dual Participation, Rights and Responsibilities, Fair Hearings, Sanctions

1. Dual Participation (WIC only or WIC/CSFP)

- a. The State agency has written procedures to prevent and detect dual participation within each local agency and between local agencies:**

☒ Yes (Please attach any descriptions of policy in Appendix or cite appropriate section(s) of the Procedure Manual): Appendix I WIC-112-WIC-113
☐ No

- b. The State agency has a written agreement with the Commodity Supplemental Food Program (CSFP) that includes specific procedures for the detection and prevention of dual participation (attach a copy of the agreement or provide a citation of where a copy is located):**

☐ Yes ☒ No ☐ Not applicable

- c. The State agency has a written agreement with the Indian State agency(ies) or other geographic State agencies in close proximity for the detection and prevention of dual participation (attach a copy of each applicable agreement or provide a citation of where a copy is located):**

☐ Yes ☐ No ☒ Not applicable

- d. The State agency has established procedures to handle participants found in violation due to dual participation:**

☒ Yes (Please attach any descriptions of policy in Appendix or cite Procedure Manual)
☐ No

ADDITIONAL DETAIL: Certification and Eligibility Appendix I and/or Procedure Manual (citation): WIC-112-WIC-120

2. Participant Rights and Responsibilities

- a. The State agency has uniform notification procedures that are used by all local agencies statewide:**

☒ Yes ☐ No

- b. The State agency requires all local agencies to inform applicant/participant of his/her rights and responsibilities in written form:**

☒ Yes ☐ No

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

G. Dual Participation, Rights and Responsibilities, Fair Hearings, Sanctions

- c. The State agency has implemented a policy of disqualifying participants for not picking up food instruments/cash-value vouchers:**

☒ Yes ☐ No ☐ Not applicable

If yes, the policy is communicated to participants in the participant rights and responsibilities materials:

☐ Yes ☐ No ☐ Not applicable

- d. The State agency has developed special notification policies and procedures for the following:**

- ☐ applicant/participant who cannot read
- ☒ applicant/participant who speaks in a language other than English
- ☐ homeless
- ☐ migrants
- ☐ persons with disabilities
- ☐ other (specify): _____

- e. The State agency requires all local agencies to provide notification of participant rights and responsibilities in the following situations:**

- ☒ eligibility at each certification
- ☒ ineligibility at initial certification
- ☒ mid-certification disqualification
- ☒ expiration of a certification period
- ☒ waiting list status
- ☐ other (specify): _____

ADDITIONAL DETAIL: Certification and Eligibility Appendix I and/or Procedure Manual citation): APP-3-APP-6

3. Fair Hearing and Sanction System

- a. The State has a law or regulation governing participant appeals:**

☒ Yes ☐ No

- b. The State agency has established statewide fair hearing procedures:**

- ☒ Yes; attach fair hearing procedures for participants or specify the location in the Procedure Manual and reference below.
- ☐ No

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

G. Dual Participation, Rights and Responsibilities, Fair Hearings, Sanctions

c. State or local agency actions against participants include (check all that apply):

- ☒ reclaiming the value of improperly received benefits
- ☒ disqualification from the program for up to one year
- ☒ suspension from the program mid-certification
- ☐ other (specify): _____

d. Appeal hearings are held at:

- ☐ WIC State agency parent agency
- ☐ other State agency or hearing board (specify): _____
- ☐ local WIC agency
- ☒ other (specify): location accessible to all or by videoconferencing

e. Statewide fair hearing procedures include (check all that apply):

- | | |
|--|--|
| <input checked="" type="checkbox"/> request for hearing | <input checked="" type="checkbox"/> local agency responsibilities |
| <input checked="" type="checkbox"/> denial or dismissal of request | <input checked="" type="checkbox"/> continuation of benefits |
| <input checked="" type="checkbox"/> rules of procedure | <input checked="" type="checkbox"/> responsibilities of hearing official |
| <input checked="" type="checkbox"/> fair hearing decision | <input type="checkbox"/> other (specify): _____ |
| <input checked="" type="checkbox"/> judicial review | |

f. State agency procedures require written notification for (check all that apply):

- | | |
|---|---|
| <input checked="" type="checkbox"/> appeal rights | <input checked="" type="checkbox"/> request for hearing |
| <input checked="" type="checkbox"/> denial or dismissal of request | <input checked="" type="checkbox"/> notice of hearing |
| <input checked="" type="checkbox"/> termination within certification period | <input checked="" type="checkbox"/> fair hearing decision |
| <input checked="" type="checkbox"/> judicial review | <input type="checkbox"/> other (specify): _____ |

g. The State agency has established timeframes to govern each step of the hearing process:

- ☒ Yes ☐ No

h. The State agency requires all local agencies to document any notification/correspondence in the participant's file:

- ☒ Yes ☐ No

i. The State agency has a written sanction policy for participants:

- ☒ Yes (If yes, provide appropriate citation below)
- ☐ No

VIII. CERTIFICATION, ELIGIBILITY & COORDINATION OF SERVICES

G. Dual Participation, Rights and Responsibilities, Fair Hearings, Sanctions

- j. The State agency has established procedures which determine the type and levels of sanctions to be applied against participants:**

☒

Yes

☐

No

**ADDITIONAL DETAIL: Certification and Eligibility Appendix I
and/or Procedure Manual (citation): WIC-109-WIC-120**

WIC INCOME ELIGIBILITY GUIDELINES
EFFECTIVE JUNE 1, 2014

Family/ Household Size	Annual	Monthly	Twice- Monthly	Bi- Weekly	Weekly
1	\$21,590	\$1,800	\$900	\$831	\$416
2	29,101	2,426	1,213	1,120	560
3	36,612	3,051	1,526	1,409	705
4	44,123	3,677	1,839	1,698	849
5	51,634	4,303	2,152	1,986	993
6	59,145	4,929	2,465	2,275	1,138
7	66,656	5,555	2,778	2,564	1,282
8	74,167	6,181	3,091	2,853	1,427
9	81,678	6,807	3,404	3,142	1,571
10	89,189	7,433	3,717	3,431	1,716
11	96,700	8,059	4,030	3,720	1,860
12	104,211	8,685	4,343	4,009	2,005
13	111,722	9,311	4,656	4,297	2,149
14	119,233	9,937	4,969	4,586	2,293
15	126,744	10,562	5,281	4,875	2,438
16	134,255	11,188	5,594	5,164	2,582
For Each Additional Member Add:	+ \$7,511	+ \$626	+ \$313	+ \$289	+ \$145

If a household reports one income or multiple income sources of the same frequency (e.g., bi-weekly), add together and compare to the income guidelines column for the appropriate household size to make the final income eligibility determination.

For national consistency in WIC Program income eligibility determinations, all staff must use the above chart when the applicant has income sources that are all received with the same frequency (e.g., all paychecks are bi-weekly or all sources are received monthly).

Manual Calculation:

If, on the other hand, a household reports income sources at more than one frequency, use the conversion factors shown below. Add the unrounded converted sums together and compare to the annual income guidelines column for the appropriate household size to make the final income eligibility determination. It is important to use these instructions and the conversion factors listed below to maintain national consistency in WIC Program income eligibility determinations.

Multiply, using the following conversion factors to calculate annual income:

Weekly income by 52

Biweekly income by 26

Twice-monthly income by 24

Monthly income by 12

As a reminder, these conversion factors should only be used when there is more than one source of income and the sources reported as being received at different frequencies. Finally, the “Each additional family member add” amounts are only to be used for family sizes greater than 16 persons.

